

PROPOSAL SUBMISSION REQUEST (PSR) OFFLINE CERTIFICATIONS

Principal Investigator

PSR Proposal Number

Certifications

My signature below confirms my review of the proposal. It also certifies that:

1. The information submitted within this application is true, complete, and accurate to the best of my knowledge;
2. Any false, fictitious, or fraudulent statements or claims may subject me, as the PI, to criminal, civil, administrative penalties;
3. I agree to accept responsibility for the scientific and fiscal conduct of the project and to provide the required progress reports if an award is made as a result of this application.

To the best of my knowledge at the time of proposal, I certify that:

1. All personnel on this project, including myself, are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from current transactions by a federal department or agency.
2. I accept the responsibility of adhering to suspension and debarment regulations during the life of the award, including notifying the Office of Contracts and Grants (OCG) immediately if there is any change in debarment status of project personnel, including Co-PI's, graduate students, other project personnel, and vendors.
3. All personnel on this project, including myself, have not and will not lobby any federal agency on behalf of this award.
4. I am familiar with the requirements of the University of Colorado's Procurement Policy and will report any violations to the Office of Contracts and Grants and/or the appropriate party per [University APS 4012 Fiscal Misconduct Policy](#). (Procurement policies are available on the [Procurement Services Center website](#).)
5. I am familiar with and will uphold the University of Colorado's Code of Conduct. (See [University Policy APS 2027 Code of Conduct](#).)
6. I will ensure that all project expenditures be made in accordance with the terms and conditions of the award and approved project budgets and that any project salaries, wages and expenditures are reasonable, essential, and appropriate. (See [University Policy APS 4014 Fiscal Roles and Responsibilities](#) and [University Policy APS 4016 Fiscal Code of Ethics](#).)
7. If a subaward/subrecipient is included in this proposal, I confirm that I have reviewed all included subawards/subrecipients. All subawards'/subrecipients' direct costs have been reviewed and appear reasonable given the proposed statement of work. All fringe benefit and indirect cost rates have been verified with the subawardees/subrecipients' organization as being current for the proposed duration of the project. (Verification may be in the form of a letter from an authorized official of the organization.)
8. I acknowledge that I am aware of, understand, and will conform to campus and University policies and procedures governing conflicts of interest and commitment. (Conflict of interest policies are available on the [Conflicts of Interest and Commitment website](#).) In addition:
 - a. I accept responsibility for ensuring that anyone responsible for the design, conduct, or reporting of research or educational activities funded or proposed for funding - including PIs, Co-PIs, research associates, postdocs, students, volunteers, collaborators, and contractors - has disclosed any financial or other potential conflicts of interest or commitment with the activities encompassed by this proposal;
 - b. For NIH/PHS-funded projects, I accept responsibility for ensuring that anyone responsible for the design, conduct, or reporting of research or educational activities funded or proposed for funding - including PIs, Co-PIs, research associates, postdocs, students, volunteers, collaborators, and contractors - has completed the required educational training before work begins.
9. I acknowledge that I am aware of, understand, and will conform to all applicable sponsoring agency and University policies regarding misconduct in research and authorship. (See [Responsible Research](#) for more information.)
10. I acknowledge that I am aware of CU Boulder's compliance with all applicable regulations regarding Combating Trafficking in Persons, including FAR 52.222-50 Combating Trafficking in Persons for Federally funded contracts and 2 CFR Part 175 Trafficking in Persons, for Federally funded Grants and Cooperative Agreements. If a funded award is subject to FAR 52.222-50(c), as the Principal Investigator I will: a) Notify all employees and volunteers working under the award of the U.S. Government's zero tolerance policy regarding trafficking in persons and the actions that will be taken against its employees or subrecipients for violation of this policy, which may include but are not limited to removal from the contract, reduction in benefits, or termination of employment; b) Immediately report any information received from any source that alleges an employee or subcontractor employee has violated the policy; and c) Work with CU Boulder administration to take any appropriate actions up to and including termination of employees or subrecipients who violate the policy. (See [Anti-Human Trafficking Compliance](#) Plan for more information.)
11. I am familiar with the [University Policy APS 2005](#) regarding Contracting Authority and the Signature Authority Delegation guidelines for CU Boulder. (See [Signature Authority webpage](#).)
12. I agree to comply with all current and subsequently adopted University intellectual property policies ("Policies"), including any that may be applicable to this proposal and any resulting award document. I will assign and do hereby assign to the Regents of the University of Colorado, a body corporate, all inventions, discoveries, and intellectual property in which the University has an interest under the Policies, whether made in the performance of the award or otherwise, and agree to promptly disclose to the University all such inventions, discoveries, and intellectual property. Furthermore, I agree to execute all necessary documents to perfect the assignment of rights or licenses as required by the award and/or Policies and to abide by all other terms and conditions of the award.

The Principal Investigator (PI) must sign and submit the PSR form to OCG at least 5 business days before the proposal deadline. For student and postdoctoral fellows serving as the PI on a fellowship proposal, the fellow's primary mentor must sign as an Other Responsible Party. Other signatures may be provided according to Department, Institute or Sponsor policies.

PI's Signature

Date

Other Responsible Party

Date

Other Responsible Party

Date

Other Responsible Party

Date